

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Donald Scott Johnson et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For POLYETHERSULFONE COMPOSITION,  
METHOD OF MAKING AND ARTICLES  
THEREFROM**"EXPRESS MAIL" MAILING  
LABEL NUMBER****EV 300766775 US****INFORMATION DISCLOSURE STATEMENT**

- ☒ 1 **Pursuant to 37CFR 1.97(b)**  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.	
_____	Date of Deposit
_____	Type or Print Name
_____	Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below;
- ☐ 9 <sup>or</sup> The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

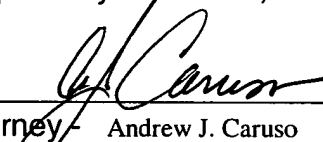
An original and two (2) copies of this document are enclosed.

November 6, 2003

Date

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CRD Patent Department 4A59  
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Respectfully submitted,

  
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FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 130936-1	SERIAL NO.
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>		Applicant Donald Scott Johnson et al.	
		Filing Date	Group

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	2,991,273	7/4/61	Hechelhammer et al.		
	A2	2,999,835	9/12/61	Goldberg		
	A3	3,028,365	4/3/62	Schnell et al.		
	A4	3,148,172	9/8/64	Fox		
	A5	3,271,367	9/6/66	Schnell		
	A6	3,271,368	9/6/66	Goldberg et al.		
	A7	3,563,951	2/16/71	Radlmann et al.		
	A8	4,065,437	12/27/77	Blinne et al.		
	A9	4,108,837	8/22/78	Johnson et al.		
	A10	4,156,068	5/22/79	Hartmann		
	A11	4,175,175	11/20/79	Johnson et al.		
	A12	4,217,438	8/12/80	Brunelle et al.		
	A13	4,310,654	1/12/82	Carnahan		
	A14	4,598,137	7/1/86	Guiver et al.		
	A15	4,695,612	9/22/87	Takekoshi et al.		
	A16	4,757,120	7/12/88	Bristowe et al.		
	A17	4,814,419	3/21/89	Cotter et al.		
	A18	4,959,454	9/25/90	Fukuyama		
	A19	5,081,298	1/14/92	Brunelle		
	A20	5,229,482	7/20/93	Brunelle		
	A21	5,693,740	12/2/97	Colquhoun et al.		
	A22	5,830,974	11/3/98	Schmidhauser et al.		
	A23	5,908,915	6/1/99	Brunelle		
	A24	6,228,970	5/8/01	Savariar		
	A25	6,355,764	3/12/02	Hun et al.		
	A26	6,417,255	6/9/02	Penning et al.		
	A27	6,495,615	12/17/02	Gallucci		

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B1	GB1264900	2/23/72	Great Britain		X

**OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)**

C1	Journal of Polymer Science, "Poly(aryl Ethers) by Nucleophilic Aromatic Substitution. I. Synthesis and Properties", Part A-1, Volume 5, pp. 2375-2398, 1967.
C2	Journal of Polymer Science, "Synthesis of Poly(arylene Ether)s Based on 9,9-Bis(3,5-Diphenyl-1-Hydroxyphenyl) fluorene", Part A, Volume 29, pp. 1045-1052, 1991.
C3	Journal of Polymer Science, "Synthesis and Properties of Aromatic Poly(ether sulfone)s and Poly(ether ketone)s Based on Methyl-Substituted Biphenyl-4,4'-Diols", Part A, Volume 32, pp. 317-322, 1994.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant	